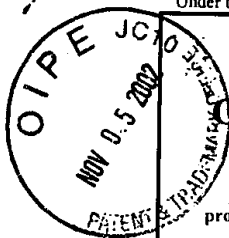


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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/466,828
Filing Date	December 20, 1999
First Named Inventor	Shunpei YAMAZAKI et al
Group Art Unit	2818
Examiner Name	R. Berry
Attorney Docket Number	0756-2077

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$842.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature		Date	October 30, 2002

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Ava M. Dixon	Date	October 30, 2002
Signature			

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

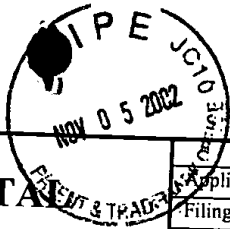
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4/RCE  
G. Staley  
11-12-02

740.00 OP  
84.00 OP  
18.00 OP

11/06/2002 CHUYEN 00000024 09466828

01 FC:1801  
02 FC:1201  
03 FC:1202



# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

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NOV - 7 2002

TC 2800 MAIL ROOM

TOTAL AMOUNT OF PAYMENT (\$ 842.00)

Application Number 09/466,828  
Filing Date December 20, 1999  
First Named Inventor Shunpei YAMAZAKI et al.  
Examiner Name R. Berry  
Group Art Unit 2818  
Attorney Docket No. 0756-2077

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-2280

Deposit Account Name Robinson Intellectual Property Law Office

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES

Total Claims 30 -29\*\* = 1 X 18 = 18.00  
Independent Claims 6 -5\*\* = 1 X 84 = 84.00  
Multiple Dependent ☐ = ☐

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 102.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
105 130	205 65		Surcharge - late filing fee or oath
127 50	227 25		Surcharge - late provisional filing fee or cover sheet
139 130	139 130		Non-English specification
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination
112 920*	112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action
115 110	215 55		Extension for reply within first month
116 400	200 200		Extension for reply within second month
117 920	460 460		Extension for reply within third month
118 1,440	720 720		Extension for reply within fourth month
128 1,960	228 980		Extension for reply within fifth month
119 320	219 160		Notice of Appeal
120 320	220 160		Filing a brief in support of an appeal
121 280	221 140		Request for oral hearing
138 1,510	138 1,510		Petition to institute a public use proceeding
140 110	240 55		Petition to revive - unavoidable
141 1,280	241 640		Petition to revive - unintentional
142 1,280	242 640		Utility issue fee (or reissue)
143 460	243 230		Design issue fee
144 620	244 310		Plant issue fee
122 130	122 130		Petitions to the Commissioner
123 50	123 50		Processing fee under 37 CR 1.17(q)
126 180	126 180		Submission of Information Disclosure Stmt
581 40	581 40		Recording each patent assignment per property (times number of properties)
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370		For each additional invention to be examined (37 CFR § 1.29(b))
179 740	279 370		Request for Continued Examination (RCE)
169 900	169 900		Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 740.00)

740.00

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on October 30, 2002.

Name: Ava M. Dixon

## SUBMITTED BY

Name (Print/Type) Eric J. Robinson  
Registration No. 38,285  
Telephone (571) 434-6789  
Signature   
Date October 30, 2002